

; / 6 4 (:)) , 5 5 , ; ;

6RXWKHUQ 0HWKRGLVW 8QLYHUVLW\ 'DOODV 7H[DV
'HGPdq 6FKRRO RI /DZ
'DQLHO \$YHQXH
'DOODV 7H[DV

(* (+, 40 * (77605; 4, 5; :

2 6RXWKHUQ 0HWKRGLVW 8QLYHUVLW\ 'DOODV 7H[DV
'HGPdq 6FKRRO RI /DZ
\$VVRFLDWH 3URIHVVRU RI /DZ
&RXUVHV WDXJKW &RQVWLWXWLRQDO /DZ , , &RQVWLWXWLRQ

2 8QLYHUVLW\ RI 0LVVRXUL &ROXPELD 0LVVRXUL
6FKRRO RI /DZ
\$VVRFLDWH 3URIHVVRU
)HGHUDO 6\VWHP 7KH \$PHULFDQ &RQVWLWXWLRQ XQC
+RQRUV 3UHVLGHQWLDO (QJDJPHQW)HOORZ 2
+DUG%DFRQ //3 ([FHOOHQFH LQ 5HVHDUFK \$ZDUG

2 9LOODQRYD 8QLYHUVLW\ 9LOODQRYD 3HQQV\OYDQ
&KDUOHV :LGJHU 6FKRRO RI /DZ
9LVVLWLQJ \$VVRFLDWH 3URIHVVRU
&RXUVHV WDXJKW &LYLO 3URFHGXUH &RQVWLWXW

2 1HZ <RUN 8QLYHUVLW\ 1HZ <RUN 1HZ <RUN
6FKRRO RI /DZ
)XUPDQ \$FDGHPLF)HOORZ

(* (+, 40 * 7 <) 30 * (; 065:

%UHDNLQJ .DORDEH 5HY BB IRUWKFRPLQJ

‡ \$UJXHV WKDW 6XSUHPH &RXUW MXGLFLDO UKHWRULF UDUHO
FKDQJHV LQ WKH &RXUW VFRPSRVLWLRQ DQG RIIHUV DTXDO

7KH :DJHV RI +LWFKLQJ :DJRQV LQYLWHG V\PSRVLXP HVVD\

‡ \$UJXHV WKDW WKH SUDFWLFH RI ORFNVWHSSLQJ VWDWH ODZ
ODZ LI HGHUDO ODZ LV DOVR XQVWDEOH

7KHUH ,V 1R 6XFK 7KLQJ \$V & QQFXL5H/DZ

‡ \$UJXHV WKDW IHGHUDO LQWHUPHGLDWH DSSHOODWH FRXUW
VWDQWLYHERGLHV RIIHGHUDO ODZ DQG H[SORUHV KRZ WKHE
PDQ\ GLIIHUHQW DUHDV RIODZ

6WDWH 5HMFWLRQ1RIWUHQHDPH // DZHY

‡ \$UJXHV WKDW VWDWH MXGJHV OHJLVODWRUV DQG HOHFWRU
E\ ERUURZLQJ WKHP LQWR VWDWH ODZ EXW DOVR LQ PDQ\ FDV
VSDFH IRU VWDWH SROLF\ H[SHULPHQWDWLRQ EXW DOVR XSV
FDVH ODZ

7KH 3DUDGR[RI ([FOXVLYH 6WDWH &RXUW 0XQQVGLFWLRQ 2YHU

‡ \$UJXHV WKDW UHFHQW FKDQJHV LQ WKH ODZ RI LQGLYLGXDO \$
SURGXFH D SDUDGR[PDQ\ IHGHUDO FODLPV FDQ EH EURXJKW
VWDWHV OHDGLQJ WR VXEVWDQWLDO OLWLJDWLRQ FRVWV D
‡ &LWHG LQ 7UDQV8QLRQ Y 5DPLUH] 6 &W Q
‡ \$ZDUGHG WKH 6KRNRODUG([FHOHQFH LQ 5HVHDFK \$ZDUG

'LYLGH DQG &RFXU 6HSDUDWH 2SLRURQHODQG 5HJD &KZLWK
)ULHGPDQ \$ 0DUWLQ 6 6PHOFHU

‡ 8VHV D QRYHO HPSLULFDO GDWDEDVH RI 6XSUHPH &RXUW FRQ

2

+RQ *HUDUG (1HZ<FRUN 1HZ <RUN
8QLWHG 6WDWHV &RXUW RI \$SSHDOV IR
/DZ &OHUN

6HFRQG &LUFXLW

, + < * (; 065

1HZ <RUN 8QLYHUVLW\ 6FKRRO RI /DZ
- ' PDJQD FXP ODXGH
+RQRUV

‡ 1HZ <RUN 8QLYHUVLW\ /DZ 5HYLHZ 0D
‡ /DZ 5HYLHZ \$OXPQL \$VV
‡ 2UGHU RI WKH &RLI
‡)XUPDQ 6FKRODU H[FH

L (CLWRU ?

W * 3

UV I

, + < * (; 065
† 1,0'5&D3E3†=P À*08%#%dUZcŒWP 0 @ P

Pà R &RXUW &OHDULWap
LWDI- pÀ€•€P ... AVW

: @ 476:0(7(5,3:

\$UWLFOH ,,, 6WDQGLQJ 7R 6XH³8QGHUVWDQGLQJ ,QMXULHV LQ
8QLYHUVLW\RI &KLFDJR &RQVWLWXWLRQDO /DZ ,QVWLWXWH 8
6WDWH 6XSUHPH &RXUWV DQG WKH *UHDW \$PHULFDQ ([SHULPH
.HQWFXN\ /DZ -RXUQDO 8QLYHUVLW\RI .HQWFXN\ - 'DYLG 5RV
2Q 7KH RU\ 'HSROLWLFL]LQJ WKH :RUN RI 6FKRODUV
0LFKDHO \$ 0LGGOHWRQ &HQWHU IRU 5DFH &LWL]HQVKLS DQG
7KH 7ZR ,PSHDFKPHQVV RI 'RQDOG 7UXPS
0LVVRXUL /DZ 5HYLHZ 8QLYHUVLW\RI 0LVVRXUL
8QZULWWHQ 5XOHV)RRG Y .XKQ DW
.LQGHU ,QVWLWXWH RQ &RQVWLWXWLRQDO 'HPRFUDF\ 8QLYHU
\$PHULFDQV IRU 3URVSHULW\)RXQGDWLRQ Y %RQWD DQG 4XHV
)HGHUDOLVW 6RFLHW\ .DQVDV &LW\ /DZ\HUV DQG .DQVDV /DZ\H
(QXPHUDWLRQ DQG 6XSUHPDF\)HGHUDO 3RZHU IRXU SDUW V
.LQGHU ,QVWLWXWH RQ &RQVWLWXWLRQDO 'HPRFUDF\ 8QLYHU
\$ 1HZ +RSH" \$Q ,QWHUGLVFLSOLQDU\ 5HIOHFWLRQ RQ WKH &RQ
-DFN %DONLQ·V '7KH &\FOHV RI &RQVWLWXWLRQDO 7LPHµ
0LVVRXUL /DZ 5HYLHZ 8QLYHUVLW\RI 0LVVRXUL

:,3,*;,+ 4,+0((77,(9(5*,:

1R -XGJH 6KRSSLQJ IRU 7LN7RN
\$OLVRQ)UDQNHO 5HXWHUV

86 6XSUHPH &RXUW &OHUV 3DTWK IRU 3ODLQWLIIV 7R 3LFN :KH
\$OLVRQ)UDQNHO 5HXWHUV

7KH -XGLFLDO 'HXV ([0DFKLQD 'HEW &HLOLQJ 2SWLRQ
'DYLG 'D\HQ 7KH \$PHULFDQ 3URVSHFW

%UHW .DYDQDXJK·V &RQFXUUHQFH &RXOG /LPLW &RXUW·V ([W
3DXO %OXPHQWKDO 7KH +XILQJWRQ 3RVW

6WDWH &RXUW :LOO %H 1H[W)URQWLHU IRU &RQVXPHU &ODVV \$
\$OLVRQ)UDQNH 5HXWHUV

6XSUHPH &RXUW 3DUHV %DFN &ODVV \$FWLRQ 6XLWV
-HVV %UDYLQ :DOO 6WUHHW -RXUQDO

8QOLNHO\ %HGIHOORZV LQ 7UDQV8QLRQ 6&2786 &DVH -XVWLFH
\$OLVRQ)UDQNH 5HXWHUV

*6440;;;, : , 9 = 0 * ,

2 \$GPLVVLRQV

2 /LEUDU\

2 'LYHUVLW\ :HOOQHVV &OLPDWH

2 &OHUNVKLSV

2 +LULQJ 9\$3

767 < 3 (9 > 90 ; 05 .

2 %DVHEDOO 3URVSHFWXV &ROXPQLVW

(631 FRP &RQWULEXWRU

)(9 (+40::065:

1HZ <RUN

'LVWULFW RI &ROXPELD